

Quantitative Imaging Biomarkers The CDRH Perspective

David G. Brown, Ph.D.

Office of In Vitro Diagnostic Device Evaluation and Safety
Center for Devices and Radiological Health, FDA
24 May 2011

Overview

- Regulatory background
- Device evaluation process
- QIBA related activities
 - Quantitative imaging regulatory approaches
 - Quantitative imaging scientific considerations
- Perspective

Key Device Legislation

- 1938 Food, Drug, & Cosmetic Act
 - Requires devices to be *safe*
- 1976 Medical Device Amendments
 - Requires devices to be *safe and effective*
 - Pre-market notification
 - Device classification
 - Substantial equivalence
- 1990 Safe Medical Devices Act
- 1997 FDA Modernization Act (FDAMA)
- 2002 Medical Device User Fee and Modernization Act

February 15, 2011

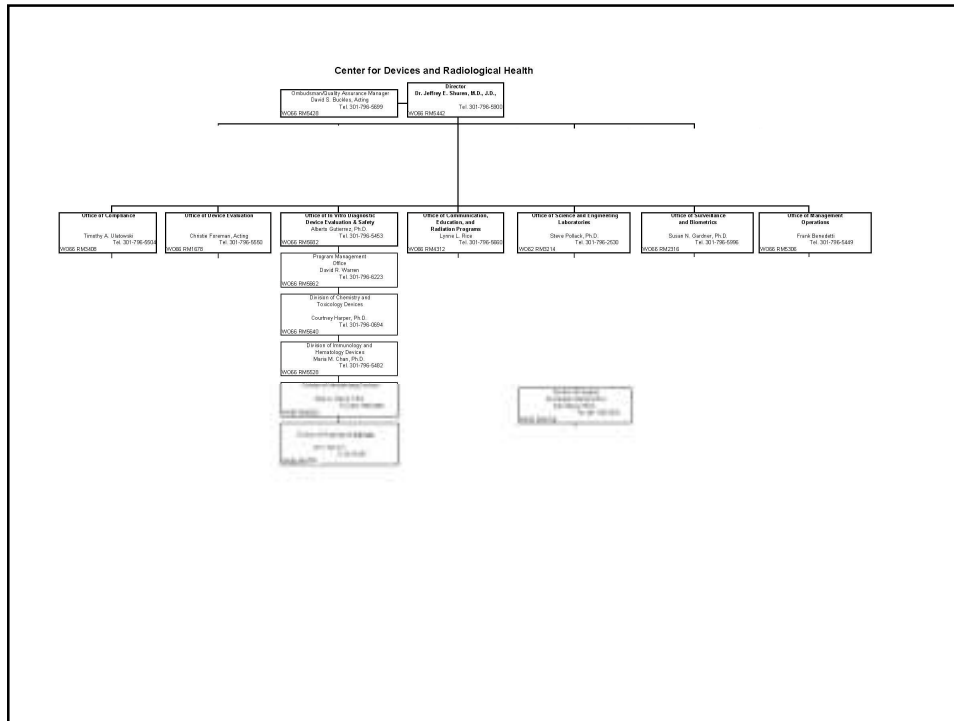
3

FDA: Center for Devices and Radiological Health

- Key Activities
 - Pre-market review
 - Post-market surveillance
 - Compliance
 - Inspections
 - Research
 - Outreach

February 15, 2011

4



FDA: Center for Devices and Radiological Health

- For radiological devices, the pre-market device evaluation is lead by:
 - Office of In Vitro Diagnostic Device Evaluation and Safety
 - Division of Radiological Devices
- Additional scientific, statistical, and/or clinical expertise is available from:
 - Office of Science and Engineering Laboratories
 - Office of Surveillance and Biostatistics
 - Office of Communication, Education, and Radiation Programs

Device Evaluation: 510(k) Example

- A manufacturer wants to upgrade their CT system with a new volume estimation algorithm
- Manufacturer submits a 510(k), which is a regulatory process for showing “substantial equivalence” to another device on the market
- Manufacturer can follow FDA’s [guidance documents](#) for recommendations for the 510(k) format, labeling, and testing
- FDA determines
 - There is no change in the intended use
 - No new types of safety and effectiveness questions
 - Performance testing is acceptable
 - The device is substantially equivalent and can be marketed

February 15, 2011

7

Device Evaluation: PMA Example

- A manufacturer wants to market a first-of-a-kind computer-aided diagnostic device to establish tumor regression
- They request a pre-submission meeting to get informal feedback from an FDA review team on the preliminary data and/or pivotal study design
- They submit a Pre-Market Approval (PMA) application, which is a regulatory process for showing a reasonable assurance of the [safety and effectiveness](#) of the device
- FDA review team will include multiple experts (physicist, statistician, clinician, epidemiologist, etc...) who will evaluate all aspects of the PMA submission
- FDA may hold a [Public Advisory Panel](#) meeting to obtain recommendations from outside experts

February 15, 2011

8

Related Activities

- In addition to manufacturer/device specific activities, FDA is involved in a number of processes that can impact a broad category of devices
 - Guidance documents
 - Public advisory panel meetings
 - Initiatives (e.g., Image Gently)
 - International standards (e.g., software)
 - Committees
 - Research

February 15, 2011

9

Public Meetings

- FDA holds public meetings to obtain feedback from scientific and clinical experts, as well as members of the public
- General Meetings
 - November 2009: Full Field Digital Mammography, Computer Aided Detection
 - March 2010: Radiation exposure from medical imaging
 - June 2010: Device improvements for therapeutic radiation
- Device Specific
 - September 2010: Hologic Selenia Dimensions 3D – Digital Breast Tomosynthesis

February 15, 2011

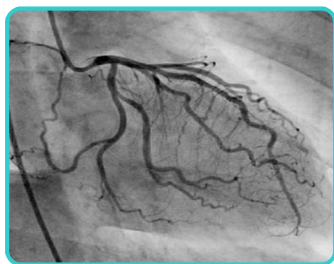
10

Quantitative Imaging Biomarkers: Scientific Considerations

- Imaging task selection
- Imaging modality selection
- Imaging system optimization/standardization
- Quality control
- Physician selection
- Protocol selection
- Computer assist tools
 - Computer-assisted diagnosis (CAD)
 - In-silico imaging

Task Selection

- Meaningful imaging performance assessment is task dependent



Imaging Modality Selection

- What type of imaging system will best get the job done?
- At what cost (\$\$)?
- At what cost (patient safety)?
- With what availability?
- Read by whom?



Imaging System Optimization

- Great variety of technique factors available
- Beware of using a variety of systems set up differently
- Differences for pediatric patients, size, gender considerations
- In silico imaging—computer simulation—can help in system optimization

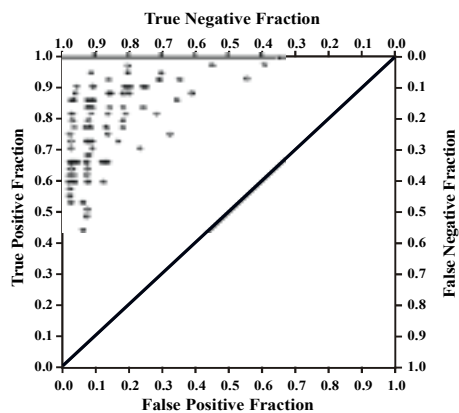
Quality Control

- Ensure system stability, systems uniformity
- Implemented by your friendly neighborhood medical physicist
- Using imaging QC phantoms (appropriate to your task)

Need a comprehensive QC program
(in action as well as on paper)

Physician Selection

- Not all Drs. were created equal

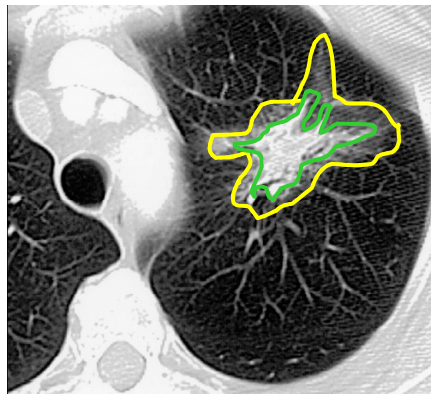


Protocol Selection

- Include all of the above
- Hire a good statistician
- Use a preliminary study to estimate study parameters
- Use in-silico imaging to ditto
- Include ample training
- Stick to your protocol

Use CAD Tools

- Reduce physician variability
- Increase accuracy



Quantitative assessment of tumor drug response with thoracic CT imaging

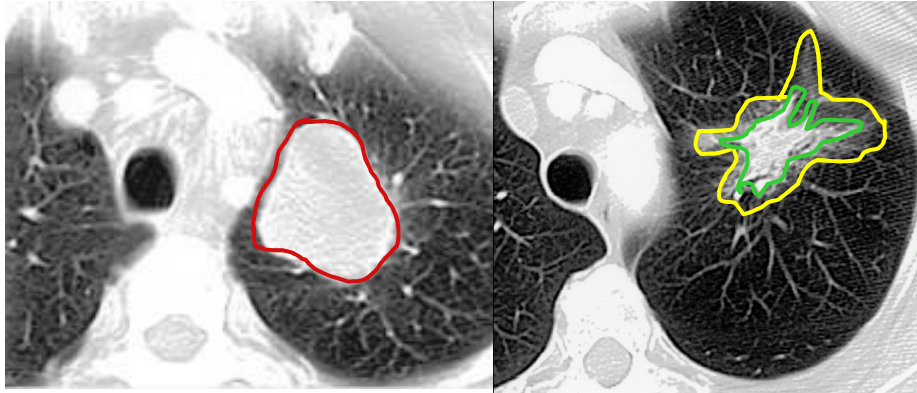
- Project team at Division of Imaging and Applied Mathematics, OSEL/CDRH/FDA
 - Marios A Gavrielides
 - Lisa M Kinnard
 - Rongping Zeng
 - Kyle J Myers
 - Nicholas Petrick
- Support by FDA, NIBIB, NCI



References

- Gavrielides MA, Kinnard LM, Myers KJ, Zeng R, Peregoy J, Pritchard WF, Zeng R, Esparza J, Karanian J, Petrick N, "A resource for the development of methodologies for lung nodule size estimation: database of thoracic CT scans of an anthropomorphic phantom," *Optics Express*, 18(14): 15244-15255, 2010. DIAM 09-81.
- Gavrielides MA, Zeng R, Kinnard LM, Myers KJ, Petrick N, "Information-theoretic approach for analyzing bias and variance in lung nodule size estimation", *IEEE Transactions on Medical Imaging*, 29(10):1795-1807, 2010. DIAM 09-84.
- Gavrielides MA, Kinnard LM, Myers KJ, Zeng R, Petrick N, "FDA phantom CT database: a resource for the assessment of lung nodule size estimation methodologies and software development," In Proceedings of SPIE Medical Imaging 2010, 7624:762417-1-762417-8, 2010. DIAM 10-115.
- Zeng R, Petrick N, Gavrielides MA, Myers KJ, "Approximations of noise structures in helical multi-detector CT scans: application to lung nodule volume estimation," In Proceedings of SPIE Medical Imaging 2010, 7624:762415-1-762415-10, 2010. DIAM 10-120.
- Kinnard LM, Gavrielides MA, Myers KJ, Zeng R, Whiting BR, Lin-Gibson S, Petrick N, "Micro CT based truth estimation of nodule size," In Proceedings of SPIE Medical Imaging 2010, 7624:762414-1-762414-8, 2010. DIAM 10-126
- Gavrielides MA, Zeng R, Kinnard LM, Myers KJ, Petrick N, "A template-based approach for the analysis of lung nodules in a volumetric CT phantom study," in Proceedings of SPIE Medical Imaging, 7260: 726009-1-726009-11, 2009. DIAM 08-102.
- Kinnard LM, Gavrielides MA, Peregoy J, Pritchard W, Petrick N, Myers KJ, "Volume error analysis for lung nodules attached to bronchial vessels in an anthropomorphic thoracic phantom," in Proceedings of SPIE Medical Imaging, 6915: 69152Q-1- 69152Q-9, 2008, DIAM 08-20.

Volume measurement method



Courtesy of Larry Clarke, NCI

Meyer, C.R., et. al, Evaluation of lung MDCT nodule annotation across radiologists and methods. *Academic Radiology*, 2006. 13(10): p. 1254-1265.

Lung CT phantom studies

- Anthropomorphic phantom from Kyotokagaku, Japan
 - lung vasculature
 - synthetic nodules
 - allows for studies on realistic scenario of nodule attachments

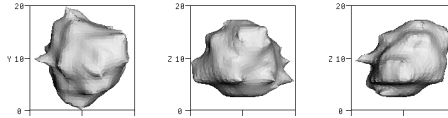


Lung CT phantom studies

- Nodule sets

- Aspheric nodules (CIRS)

- Elliptical shapes
 - Spiculated nodules
 - Lobulated nodules



Nodule layouts

- Preliminary scans to determine materials to secure nodules
 - surgical suture prolene 5.0



Phantom Study Deliverables 1

- Public Image Database
 - Phantom thoracic scans
 - Across range of imaging protocols, nodules sizes, shapes, attachments
 - Associated truth of nodule volume
 - Available to software developers and researchers
 - Allow for determination of bias and variance
- Understanding/quantifying sources of error
 - Related to size measures (volume, RECIST, WHO)
 - Relative contribution to the error of different sources (imaging parameters, nodule characteristics, software tools)